

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number EV334279684US.

Attorney Docket No. MAL1P001

First Named Inventor:

Chi Au et al.



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

UTILITY PATENT APPLICATION TO A NORTHWAY	38			
Mail Stop Patent Application Mail Stop Patent Application Mail Stop Patent Application	17538			
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Commissioner for Patents				
P.O. Box 1450 Alexandria VA 22212 1450				
Alexandria, VA 22313-1450 Duplicate for fee processing				
1-3350mg				
Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors: Chi Au, Tzu-Yih Chu, Hao Yin, Edward W. Lee and Abraham C. Ma For: OPTICAL ISON ATTERNATION.				
Fam. Commission of the Choise and Abraham C. Ma				
For: OPTICAL ISOLATOR, ATTENUATOR AND POLARIZER SYSTEM AND METHOD FOR INTEGRATED OPTICS				
Application Elements:				
21 Pages of Specification, Claims and Abstract				
11 Sheets of Drawings				
03 Pages Combinate				
03 Pages Combined Declaration and Power of Attorney				
Accompanying Application Parts:				
A ani				
Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed) 37 CFR 3.73(b) Statement by Assignee				
37 CFR 3.73(b) Statement by Assignee				
Information Disclosure G				
Information Disclosure Statement with Form PTO-1449				
Copies of IDS Citations				
Preliminary Amendment				
Return Receipt Postcard				
Small Facility				
Small Entity Statement(s)				
Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) Other:				
Other: $122(b)(2)(B)(i)$				
Fee Calculation (37 CFR § 1.16)				
(Col.1)				
NO FUED SMALL ENTITY OR				
DASIC FEE				
TOTAL CLAIMS $30 - 20 = 10$ $$375 375 OR $\frac{RATE}{$750} FEE				
$\frac{100 \text{ EP CLAIMS}}{100 \text{ Mpc}} = \frac{100 \text{ Mpc}}{100 \text{ Mpc}} $				
1 Multiple Dependent Claim B $\frac{\sqrt{10}-5}{\sqrt{10}}$				
$\sim m_0 difference in (\sim 1) \sim 1 . \sim 1$				
than zero, enter "0" in Col 2				
(Revised 12/97, Pat Ann Trans 52/1) P				
Page 1 of 2				

Check No. 011996 in the amount of \$547.00 is enclosed. The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-1351 (Order MAL1P001). General Authorization for Petition for Extension of Time (37 CFR §1.136) Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-1351 (Order MAL1P001). Please send correspondence to the following address: Silicon Valley IP Group, PC P.O. Box 721120 San Jose, CA 95172-1120 Tel (408) 971-2573 *28875 *ATENT TRADEMARK OFFICE Kevin J. Z/Ika Registration No. 47,429	•
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	Customer No.:: 28875 PATENT TRADEMARK OFFICE Date: Kevin J. Zilka

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

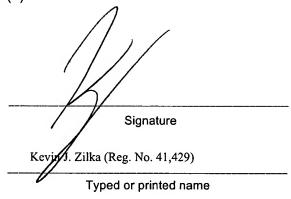
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor			
Title Optical Isolator, Attenuator and Polarizer System and Method for Integrated Optics			
Atty Docket Number			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).





This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.